

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of: WALKER et al.

Serial No.: 09/853,541

Filing Date: May 11, 2001

For: METHOD AND APPARATUS FOR
PROCESSING CHECKS TO
RESERVE FUNDS

) Examiner: N. D. Rosen
)
) Group Art Unit: 3625
)
) Attorney Docket No: 98-030-C1
)
) Customer No.: 22927
)
) **Notification of Fee Status Change**
)
) **Pursuant to 37 CFR § 1.27**
)



Assistant Commissioner for Patents
Washington, D.C. 20231

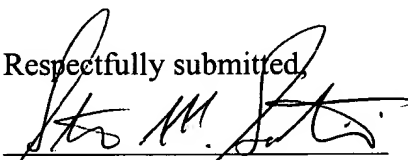
ASSERTION OF SMALL ENTITY STATUS

Dear Sir:

The assignee of the above-identified application claims small entity status. This notification is made pursuant to 37 CFR §1.27.

If the Examiner has any questions about this status change, the Examiner is invited to contact the undersigned at (203) 461-7054. While no fees are believed due in connection with this paper, please charge any fees that may be required for this paper, or with any other papers filed in connection with this application to Deposit Account No. 50-0271.

March 27, 2003
Date

Respectfully submitted,

Steven M. Santisi
Attorney for Applicants
Walker Digital, LLC
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PATENT

Application Serial No. 09/853,541
Attorney Docket No. 98-030-C1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Walker et al.

Application No.: 09/853,541

Filed: May 11, 2001

For: METHOD AND APPARATUS FOR
PROCESSING CHECKS TO
RESERVE FUNDS

Customer No. 22927

) Group Art Unit: 3625
)
) Examiner: N. D. Rosen
) 703.305.0753/phone
) 703.305.7687/fax
)
) **Affidavit Pursuant to**
) **37 C.F.R. § 1.131**
)
) Attorney Docket No. 98-030-C1
) *(Please note new docket number)*
)
) Walker Digital, LLC
)
) (203) 461-7000
) (203) 329-5200 /fax
)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as priority mail addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on March 27, 2003.

Dated: 3.27.03 By: _____
Veronika S. Leliever

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Entry of the following Affidavit and Exhibit into the above-identified application is respectfully requested.

March 27, 2003
Date

Respectfully submitted,

Steven Santisi
Attorney for Applicants
Registration No. 40,157
Walker Digital, LLC
Five High Ridge Park
Stamford, CT 06905
(203) 461-7054
(203) 461-7300/fax

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Affidavit Pursuant to 37 C.F.R. 1.131

STATE OF CONNECTICUT)
) ss: Stamford
COUNTY OF FAIRFIELD)

We, Daniel Tedesco and James Jorasch, being duly sworn, depose and say:

1. That we are the two Inventors of the invention described and claimed in United States Patent Application No. 09/853,541, filed May 1, 2001 entitled "METHOD AND APPARATUS FOR PROCESSING CHECKS TO RESERVE FUNDS"
2. That we conceived of the invention claimed in the above-identified patent application in the United States prior to June 10, 1998, the effective date of Patent No. 6,036,344 filed by Goldenberg and entitled "SECURE CHECK PROCESSING SYSTEM AND METHOD".
3. That with all due diligence, we worked together, with patent counsel, and with others to draft and file parent U.S. Patent No. 6,282,523 B1 on June 29, 1998, which was continued as U.S. Patent Application No. 09/853,541. We did so consistently and without delay from the time of conception until the filing date of the parent patent.
4. That the attached Exhibit is a copy of a Draft Patent Application that was written prior to the effective date of Patent No. 6,036,344. The above-identified patent application is based upon the information provided in the attached Exhibit.
5. That the documents in the Exhibit are provided for use only by the U.S. Patent and Trademark Office for purposes of responding to the Office Action, Paper No. 10, dated September 27, 2002, from the U.S. Patent and Trademark Office with regard to the above-identified patent application.
6. And that we are not waiving any attorney-client or other privilege associated with, or created as a result of the Exhibit, the above-identified patent application, any patent or patent application from which the above-identified patent application claims priority, or any other communication.


Daniel Tedesco


James Jorasch

Subscribed and sworn to before me this 26th day of March, 2003.


Notary Public

V. S. LELIEVER
NOTARY PUBLIC
Date my Commission Expires: MY COMMISSION EXPIRES AUG. 31, 2007

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